
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 6, 2022



Advanced Energy Industries, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation)

000-26966
(Commission File Number)

84-0846841
(IRS Employer Identification No.)

1595 Wynkoop Street, Suite 800, Denver, Colorado
(Address of principal executive offices)

80202
(Zip Code)

(970) 407-6626
(Registrant's telephone number, including area code)

Not applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class
Common Stock, \$0.001 par value

Trading Symbol(s)
AEIS

Name of each exchange on which registered
NASDAQ Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company ☐
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 8.01 Other Events

On April 6, 2022, Advanced Energy Industries, Inc. (the “Company”) issued a press release announcing the appointment of Elizabeth K. Vonne as Executive Vice President, General Counsel and Corporate Secretary of the Company, effective April 15, 2022. Prior to joining the Company, Ms. Vonne was the Vice President, Legal, General Counsel and Secretary of Mesa Laboratories, Inc., a multinational manufacturer of critical quality control solutions and life science tools. Ms. Vonne received a Juris Doctor degree from Columbia Law School and a Bachelor of Arts from Amherst College.

A copy of the press release entitled “Advanced Energy Names Elizabeth K. Vonne as Executive Vice President, General Counsel and Corporate Secretary” is attached as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

<u>Exhibit</u> <u>Number</u>	<u>Description</u>
99.1	Press Release, dated April 6, 2022
104	The cover page from Advanced Energy Industries, Inc. Current Report on Form 8-K, formatted in Inline XBRL

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 6, 2022

/s/ Paul Oldham

Paul Oldham

Executive Vice President, Chief Financial Officer

PRESS RELEASE



DENVER, Colo., April 6, 2022 — Advanced Energy (Nasdaq: AEIS) – a global leader in highly engineered, precision power conversion, measurement and control solutions – today announced that Elizabeth K. Vonne has been appointed executive vice president, general counsel and corporate secretary, reporting to Steve Kelley, president and chief executive officer.

“I am delighted to welcome Elizabeth to the executive management team,” said Steve Kelley. “Her deep legal knowledge, international experience and technology industry expertise are a great fit for Advanced Energy.”

Elizabeth has more than 20 years’ experience in the legal field. She joins AE from Mesa Laboratories, Inc., a multinational manufacturer of critical quality control solutions and life science tools, where she served as vice president, legal, general counsel and secretary. Prior to this, Elizabeth was a partner at Davis, Graham & Stubbs LLP – a leading law firm that focuses on corporate transactions, complex litigation, and regulatory compliance. Previously, she was an associate attorney with Clearly Gottlieb Steen & Hamilton LLP in New York City.

Elizabeth earned her Juris Doctor from Columbia Law School, attained a Bachelor of Arts from Amherst College, and studied law at The University of Tokyo. Elizabeth’s official start date with Advanced Energy is Friday, April 15, 2022.

“I am excited to join Advanced Energy, a leader in the power electronics industry,” said Elizabeth. “I look forward to working with the leadership team to accelerate the company’s growth plans and technology investments.”

Elizabeth will replace Tom McGimpsey, executive vice president, general counsel, and corporate secretary, who is retiring from the company, effective April 15, 2022.

“The company and its board of directors sincerely thank Tom for his more than 13 years of dedicated and committed service to AE and wish him well in his retirement,” said Steve Kelley.

About Advanced Energy

Advanced Energy (Nasdaq: AEIS) is a global leader in the design and manufacturing of highly engineered, precision power conversion, measurement and control solutions for mission-critical applications and processes. AE’s power solutions enable customer innovation in complex applications for a wide range of industries including semiconductor equipment, industrial, manufacturing, telecommunications, data center computing and healthcare. With engineering know-how and responsive service and support around the globe, the company builds collaborative partnerships to meet technology advances, propel growth for its customers and innovate the future of power. Advanced Energy has devoted four decades to perfecting power for its global customers and is headquartered in Denver, Colorado, USA.

For more information, visit www.advancedenergy.com.

Advanced Energy | Precision. Power. Performance.

For more information, contact:

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